CS162 Operating Systems and Systems Programming Lecture 13

Scheduling 3: Proportional Share Scheduling, Deadlock

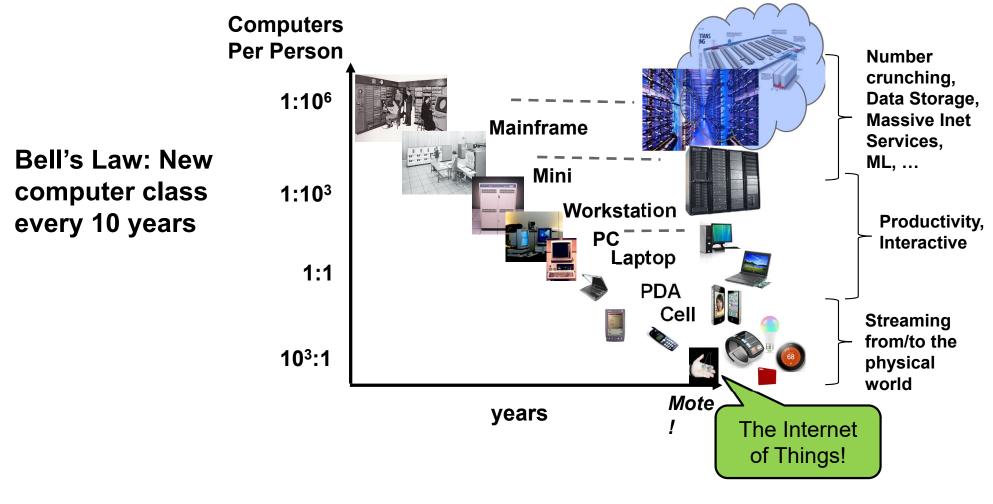
February 29th, 2024 Prof. John Kubiatowicz http://cs162.eecs.Berkeley.edu

Recall: Real-Time Scheduling

- Goal: Predictability of Performance!
 - We need to predict with confidence worst case response times for systems!
 - In RTS, performance guarantees are:
 - » Task- and/or class centric and often ensured a priori
 - In conventional systems, performance is:
 - » System/throughput oriented with post-processing (... wait and see ...)
 - Real-time is about enforcing predictability, and does not equal fast computing!!!
- Hard real-time: for time-critical safety-oriented systems
 - Meet all deadlines (if at all possible)
 - Ideally: determine in advance if this is possible
 - Earliest Deadline First (EDF), Least Laxity First (LLF),
 Rate-Monitonic Scheduling (RMS), Deadline Monotonic Scheduling (DM)
- Soft real-time: for multimedia
 - Attempt to meet deadlines with high probability
 - Constant Bandwidth Server (CBS)

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Recall: Changing Landscape...



Changing Landscape of Scheduling

- Priority-based scheduling rooted in "time-sharing"
 - Allocating precious, limited resources across a diverse workload
 - » CPU bound, vs interactive, vs I/O bound
- 80's brought about personal computers, workstations, and servers on networks
 - Different machines of different types for different purposes
 - Shift to fairness and avoiding extremes (starvation)
- 90's emergence of the web, rise of internet-based services, the datacenter-is-the-computer
 - Server consolidation, massive clustered services, huge flashcrowds
 - It's about predictability, 95th percentile performance guarantees

Key Idea: Proportional-Share Scheduling

- The policies we've studied so far:
 - Always prefer to give the CPU to a prioritized job
 - Non-prioritized jobs may never get to run
- But priorities were a means, not an end:
 - Give priority to interactive tasks or I/O tasks for responsiveness
 - Lower priority given to long running tasks
- Instead, we can *share* the CPU *proportionally*
 - Give each job a share of the CPU according to its priority
 - Low-priority jobs get smaller share of CPU
 - But all jobs can at least make progress (no starvation)
- This idea is closely related to fair queueing

Lottery Scheduling

- Simple Idea:
 - Give each job some number of lottery tickets
 - On each time slice, randomly pick a winning ticket
 - On average, CPU time is proportional to number of tickets given to each job



- How to assign tickets?
 - To approximate SRTF, short running jobs get more, long running jobs get fewer
 - To avoid starvation, every job gets at least one ticket (everyone makes progress)
- Advantage over strict priority scheduling: behaves gracefully as load changes
 - Adding or deleting a job affects all jobs proportionally, independent of how many tickets each job possesses

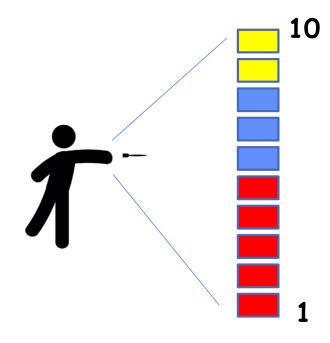
Lottery Scheduling Example (Cont.)

- Lottery Scheduling Example
 - Assume short jobs get 10 tickets, long jobs get 1 ticket

# short jobs/ # long jobs	% of CPU each short jobs gets	% of CPU each long jobs gets
1/1	91%	9%
0/2	N/A	50%
2/0	50%	N/A
10/1	9.9%	0.99%
1/10	50%	5%

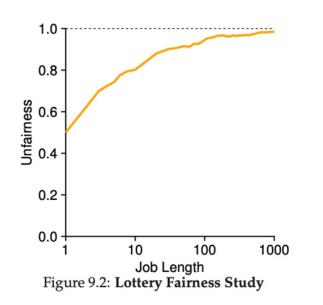
- What if too many short jobs to give reasonable response time?
 - » If load average is 100, hard to make progress
 - » One approach: log some user out

Lottery Scheduling: Simple Mechanism



- $N_{ticket} = \sum N_i$
 - Pick a number d in 1 . . N_{ticket} as the random "dart"
 - Jobs record their N_i of allocated tickets
- Order them by N_i
- Select the first j such that $\sum N_i$ up to j exceeds *d*.

Unfairness



- E.g., Given two jobs A and B of same run time (# Qs) that are each supposed to receive 50%,
 U = finish time of first / finish time of last
- As a function of run time

Stride Scheduling

- Achieve proportional share scheduling without resorting to randomness, and overcome the "law of small numbers" problem.
- "Stride" of each job is $\frac{big \# W}{N_i}$
 - The larger your share of tickets, the smaller your stride
 - Ex: W = 10,000, A=100 tickets, B=50, C=250
 - A stride: 100, B: 200, C: 40
- Each job has a "pass" counter
- Scheduler: pick job with lowest *pass*, runs it, add its *stride* to its *pass*
- Low-stride jobs (lots of tickets) run more often
 - Job with twice the tickets gets to run twice as often
- Some messiness of counter wrap-around, new jobs, ...

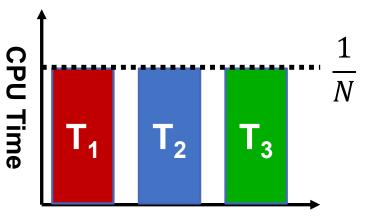
Linux Completely Fair Scheduler (CFS)

• Goal: Each process gets an equal share of CPU

– N threads "simultaneously" execute on $\frac{1}{N}$ of CPU

- The *model* is somewhat like simultaneous multithreading each thread gets $\frac{1}{N}$ of the cycles
- In general, can't do this with real hardware
 - OS needs to give out full CPU in time slices
 - Thus, we must use something to keep the threads roughly in sync with one another

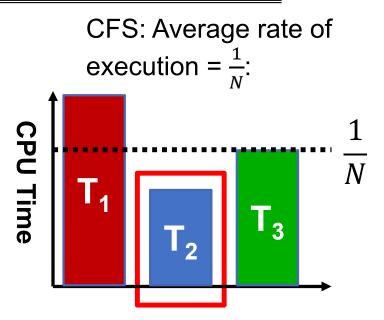
Model: "Perfectly" subdivided CPU:



Linux Completely Fair Scheduler (CFS)

- Basic Idea: track CPU time per thread and schedule threads to match up average rate of execution
- Scheduling Decision:
 - "Repair" illusion of complete fairness
 - Choose thread with minimum CPU time
 - Closely related to Fair Queueing
- Use a heap-like scheduling queue for this...
 - O(log N) to add/remove threads, where N is number of threads
- Sleeping threads don't advance their CPU time, so they get a boost when they wake up again...

- Get interactivity automatically!



Linux CFS: Responsiveness/Starvation Freedom

- In addition to fairness, we want **low response time** and starvation freedom
 - Make sure that everyone gets to run at least a bit!
- Constraint 1: *Target Latency*
 - Period of time over which every process gets service
 - Quanta = Target_Latency / n
- Target Latency: 20 ms, 4 Processes
 - Each process gets 5ms time slice
- Target Latency: 20 ms, 200 Processes
 - Each process gets 0.1ms time slice (!!!)
 - Recall Round-Robin: large context switching overhead if slice gets to small

Linux CFS: Throughput

- Goal: Throughput
 - Avoid excessive overhead
- Constraint 2: Minimum Granularity
 - Minimum length of any time slice
- Target Latency 20 ms, Minimum Granularity 1 ms, 200 processes
 - Each process gets 1 ms time slice

Aside: Priority in Unix – Being Nice

- The industrial operating systems of the 60s and 70's provided priority to enforced desired usage policies.
 - When it was being developed at Berkeley, instead it provided ways to "be nice".
- nice values range from -20 to 19
 - Negative values are "not nice"
 - If you wanted to let your friends get more time, you would nice up your job
- Scheduler puts higher nice-value tasks (lower priority) to sleep more ...
 In O(1) scheduler, this translated fairly directly to priority (and time slice)
- How does this idea translate to CFS?
 - Change the rate of CPU cycles given to threads to change relative priority

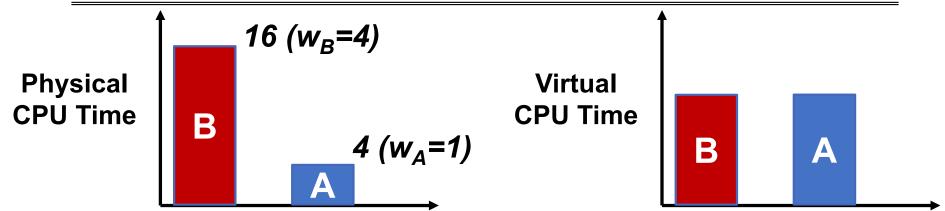
Linux CFS: Proportional Shares

- What if we want to give more CPU to some and less to others in CFS (proportional share) ?
 - Allow different threads to have different rates of execution (cycles/time)
- Use weights! Key Idea: Assign a weight w_i to each process *I* to compute the switching quanta Q_i
 - Basic equal share: Q_i = Target Latency $\cdot \frac{1}{N}$
 - Weighted Share: $Q_i = \binom{w_i}{\sum_p w_p} \cdot \text{Target Latency}$
- Reuse nice value to reflect share, rather than priority,
 - Remember that lower nice value \Rightarrow higher priority
 - CFS uses nice values to scale weights exponentially: Weight=1024/(1.25)^{nice}
 - » Two CPU tasks separated by nice value of 5 ⇒ Task with lower nice value has 3 times the weight, since $(1.25)^5 \approx 3$
- So, we use "Virtual Runtime" instead of CPU time
 - Virtual Runtime = Real CPU Time / Weight

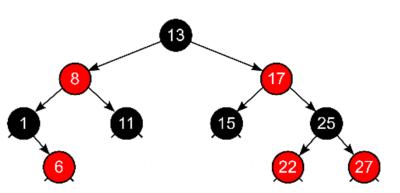
Example: Linux CFS: Proportional Shares

- Target Latency = 20ms
- Minimum Granularity = 1ms
- Example: Two CPU-Bound Threads
 - Thread A has weight 1
 - Thread B has weight 4
- Time slice for A? 4 ms
- Time slice for B? 16 ms

Linux CFS: Proportional Shares



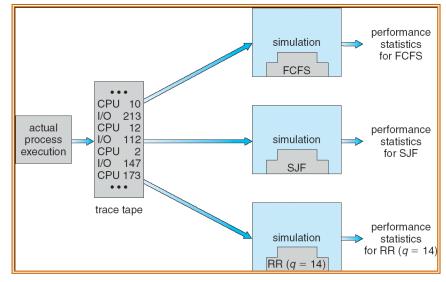
- Track a thread's *virtual* runtime rather than its true physical runtime
 - Higher weight: Virtual runtime increases more slowly
 - Lower weight: Virtual runtime increases more quickly
- Scheduler's Decisions are based on Virtual CPU Time
- Use of Red-Black tree to hold all runnable processes as sorted on vruntime variable
 - O(log N) time to perform insertions/deletions
 - » Cache the item at far left (item with earliest vruntime)
 - When ready to schedule, grab version with smallest vruntime (which will be item at the far left).



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How to Evaluate a Scheduling algorithm?

- Deterministic modeling
 - takes a predetermined workload and compute the performance of each algorithm for that workload
- Queueing models
 - Mathematical approach for handling stochastic workloads
- Implementation/Simulation:
 - Build system which allows actual algorithms to be run against actual data
 - Most flexible/general



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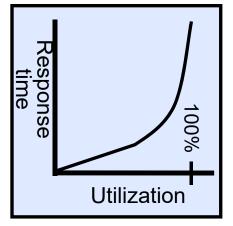
Choosing the Right Scheduler

I Care About:	Then Choose:
CPU Throughput	FCFS
Avg. Response Time	SRTF Approximation
I/O Throughput	SRTF Approximation
Fairness (CPU Time)	Linux CFS
Fairness – Wait Time to Get CPU	Round Robin
Meeting Deadlines	EDF
Favoring Important Tasks	Priority

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A Final Word On Scheduling

- When do the details of the scheduling policy and fairness really matter?
 - When there aren't enough resources to go around
- When should you simply buy a faster computer?
 - (Or network link, or expanded highway, or ...)
 - One approach: Buy it when it will pay for itself in improved response time
 - » Perhaps you're paying for worse response time in reduced productivity, customer angst, etc...
 - » Might think that you should buy a faster X when X is utilized 100%, but usually, response time goes to infinity as utilization \Rightarrow 100%
- An interesting implication of this curve:
 - Most scheduling algorithms work fine in the "linear" portion of the load curve, fail otherwise
 - Argues for buying a faster X when hit "knee" of curve



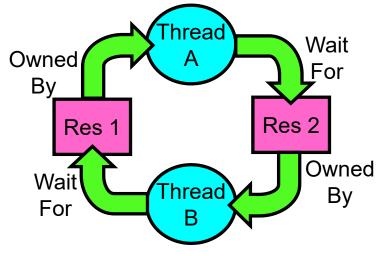
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Administrivia

- Welcome to Project 2
 - Please get started earlier than last time!
- Midterm 2
 - Coming up in 2 weeks! (3/14)
 - Everything up to the midterm is fair game (perhaps deemphasizing the lecture on the day before....)

Deadlock: A Deadly type of Starvation

- Starvation: thread waits indefinitely
 - Example, low-priority thread waiting for resources constantly in use by high-priority threads
- Deadlock: circular waiting for resources
 - Thread A owns Res 1 and is waiting for Res 2 Thread B owns Res 2 and is waiting for Res 1
- Deadlock \Rightarrow Starvation but not vice versa
 - Starvation can end (but doesn't have to)
 - Deadlock can't end without external intervention



Example: Single-Lane Bridge Crossing



CA 140 to Yosemite National Park

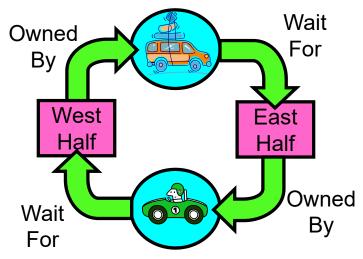
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Bridge Crossing Example

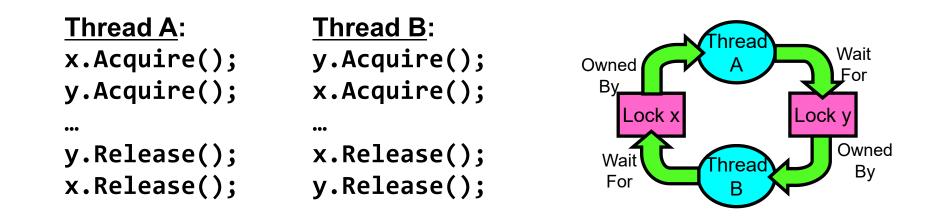
- Each segment of road can be viewed as a resource
 - Car must own the segment under them
 - Must acquire segment that they are moving into
- For bridge: must acquire both halves
 Traffic only in one direction at a time





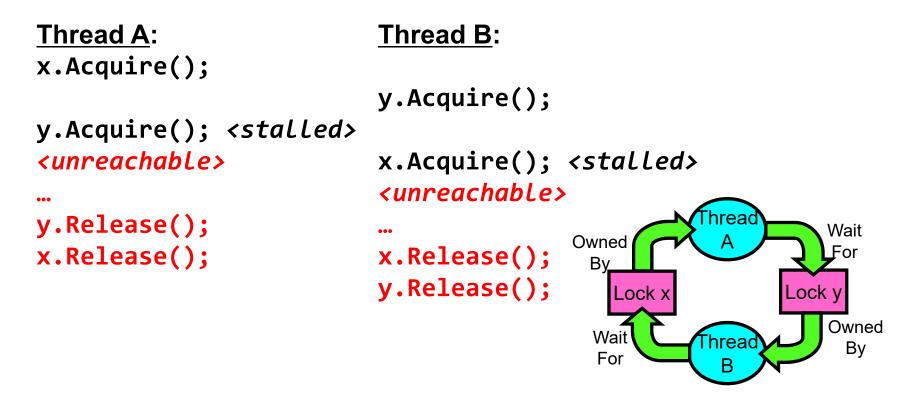
- Deadlock: Shown above when two cars in opposite directions meet in middle
 - Each acquires one segment and needs next
 - Deadlock resolved if one car backs up (preempt resources and rollback)
 - » Several cars may have to be backed up
- Starvation (not Deadlock):
 - East-going traffic really fast \Rightarrow no one gets to go west

Deadlock with Locks



- This lock pattern exhibits *non-deterministic deadlock* Sometimes it happens, sometimes it doesn't!
- This is really hard to debug!

Deadlock with Locks: "Unlucky" Case



Neither thread will get to run \Rightarrow Deadlock

Deadlock with Locks: "Lucky" Case

<u>Thread A</u> : x.Acquire();	<u>Thread B</u> :
y.Acquire();	
	y.Acquire();
y.Release();	
<pre>x.Release();</pre>	
	<pre>x.Acquire();</pre>
	•••
	<pre>x.Release();</pre>
	y.Release();

Sometimes, schedule won't trigger deadlock!

Other Types of Deadlock

- Threads often block waiting for resources
 - Locks
 - Terminals
 - Printers
 - CD drives
 - Memory
- Threads often block waiting for other threads
 - Pipes
 - Sockets
- You can deadlock on any of these!

Deadlock with Space

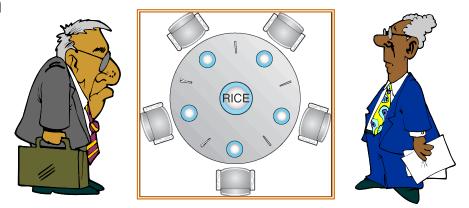
<u>Thread A:</u>	-
AllocateOrWait(1 MB)	
AllocateOrWait(1 MB)	
Free(1 MB)	
Free(1 MB)	

<u>Thread B</u> AllocateOrWait(1 MB) AllocateOrWait(1 MB) Free(1 MB) Free(1 MB)

If only 2 MB of space, we get same deadlock situation

Dining Lawyers Problem

- Five chopsticks/Five lawyers (really cheap restaurant)
 - Free-for all: Lawyer will grab any one they can
 - Need two chopsticks to eat
- What if all grab at same time?
 - Deadlock!
- How to fix deadlock?
 - Make one of them give up a chopstick (Hah!)
 - Eventually everyone will get chance to eat
- How to prevent deadlock?
 - Never let lawyer take last chopstick if no hungry lawyer has two chopsticks afterwards
 - Can we formalize this requirement somehow?



Four requirements for occurrence of Deadlock

- Mutual exclusion
 - Only one thread at a time can use a resource.
- Hold and wait
 - Thread holding at least one resource is waiting to acquire additional resources held by other threads
- No preemption
 - Resources are released only voluntarily by the thread holding the resource, after thread is finished with it
- Circular wait
 - There exists a set $\{T_1, ..., T_n\}$ of waiting threads
 - » T_1 is waiting for a resource that is held by T_2
 - » T_2 is waiting for a resource that is held by T_3

» ...

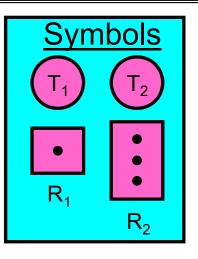
» T_n is waiting for a resource that is held by T_1

Detecting Deadlock: Resource-Allocation Graph

- System Model
 - -A set of Threads T_1, T_2, \ldots, T_n
 - Resource types R_1, R_2, \ldots, R_m

CPU cycles, memory space, I/O devices

- Each resource type R_i has W_i instances



- Resource-Allocation Graph:
 - -V is partitioned into two types:

» $T = \{T_1, T_2, \dots, T_n\}$, the set threads in the system.

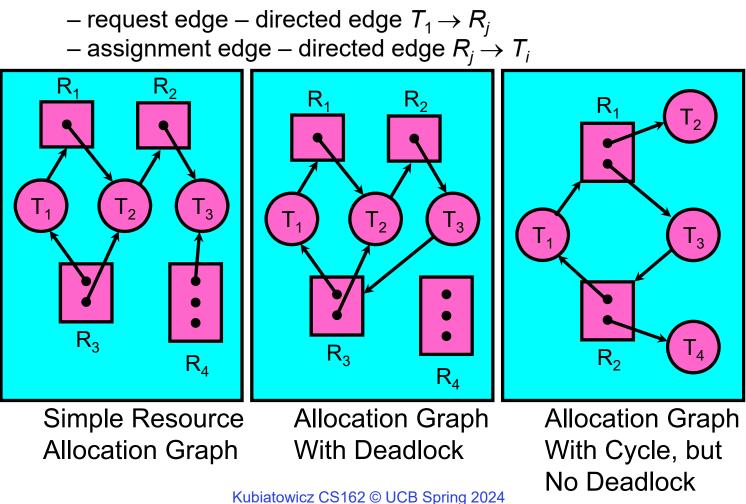
» $R = \{R_1, R_2, ..., R_m\}$, the set of resource types in system

-request edge - directed edge $T_1 \rightarrow R_i$

-assignment edge - directed edge $R_j \rightarrow T_i$

Resource-Allocation Graph Examples

• Model:

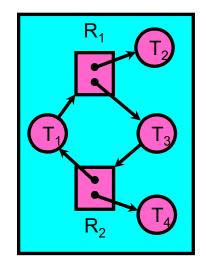


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Deadlock Detection Algorithm

- Let [X] represent an m-ary vector of non-negative integers (quantities of resources of each type):
- · See if tasks can eventually terminate on their own

```
[Avail] = [FreeResources]
Add all nodes to UNFINISHED
do {
    done = true
    Foreach node in UNFINISHED {
        if ([Request<sub>node</sub>] <= [Avail]) {
            remove node from UNFINISHED
        [Avail] = [Avail] + [Alloc<sub>node</sub>]
        done = false
        }
    }
    } until(done)
```



• Nodes left in UNFINISHED \Rightarrow deadlocked

How should a system deal with deadlock?

- Four different approaches:
- 1. <u>Deadlock prevention</u>: write your code in a way that it isn't prone to deadlock
- 2. <u>Deadlock recovery</u>: let deadlock happen, and then figure out how to recover from it
- 3. <u>Deadlock avoidance</u>: dynamically delay resource requests so deadlock doesn't happen
- 4. <u>Deadlock denial</u>: ignore the possibility of deadlock
- Modern operating systems:
 - Make sure the *system* isn't involved in any deadlock
 - Ignore deadlock in applications
 - » "Ostrich Algorithm"

Techniques for Preventing Deadlock

- Infinite resources
 - Include enough resources so that no one ever runs out of resources.
 Doesn't actually have to be infinite, just large...
 - Give illusion of infinite resources (e.g. virtual memory)
 - Examples:
 - » Bay bridge with 12,000 lanes. Never wait!
 - » Infinite disk space (not realistic yet?)
- No Sharing of resources (totally independent threads)
 - Not very realistic
- Don't allow waiting
 - How the phone company avoids deadlock
 - » Call Mom in Toledo, works way through phone network, but if blocked get busy signal.
 - Technique used in Ethernet/some multiprocessor nets
 - » Everyone speaks at once. On collision, back off and retry
 - Inefficient, since have to keep retrying
 - » Consider: driving to San Francisco; when hit traffic jam, suddenly you're transported back home and told to retry!

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(Virtually) Infinite Resources

<u>Thread A</u>	<u>Thread B</u>
AllocateOrWait(1 MB)	AllocateOrWait(1 MB)
AllocateOrWait(1 MB)	AllocateOrWait(1 MB)
Free(1 MB)	Free(1 MB)
Free(1 MB)	Free(1 MB)

- With virtual memory we have "infinite" space so everything will just succeed, thus above example won't deadlock
 - Of course, it isn't actually infinite, but certainly larger than 2MB!

Techniques for Preventing Deadlock

- Make all threads request everything they'll need at the beginning.
 - Problem: Predicting future is hard, tend to over-estimate resources
 - Example:
 - » If need 2 chopsticks, request both at same time
 - » Don't leave home until we know no one is using any intersection between here and where you want to go; only one car on the Bay Bridge at a time
- Force all threads to request resources in a particular order preventing any cyclic use of resources
 - Thus, preventing deadlock
 - Example (x.Acquire(), y.Acquire(), z.Acquire(),...)
 - » Make tasks request disk, then memory, then...
 - » Keep from deadlock on freeways around SF by requiring everyone to go clockwise

Request Resources Atomically (1)

Rather than:

```
Thread A:
x.Acquire();
y.Acquire();
...
y.Release();
x.Release();
```

Thread B: y.Acquire(); x.Acquire(); ... x.Release();

y.Release();

Consider instead:

Thread A:
Acquire_both(x, y);

```
...
y.Release();
x.Release();
```

```
Thread B:
Acquire_both(y, x);
```

x.Release();
y.Release();

...

Request Resources Atomically (2)

Or consider this:

```
Thread B
Thread A
z.Acquire();
                         z.Acquire();
x.Acquire();
                         y.Acquire();
y.Acquire();
                         x.Acquire();
z.Release();
                         z.Release();
                         ...
...
y.Release();
                         x.Release();
x.Release();
                         y.Release();
```

Acquire Resources in Consistent Order

Rather than:

```
Thread A:
x.Acquire();
y.Acquire();
                          ...
y.Release();
x.Release();
```

Consider instead:

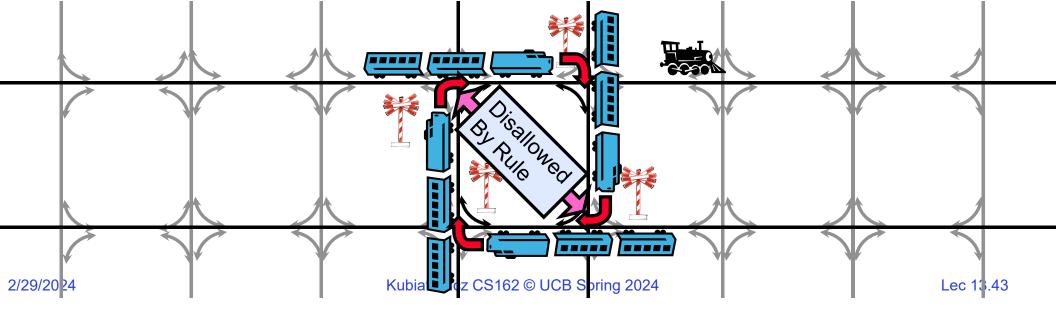
```
Thread A:
x.Acquire();
y.Acquire();
y.Release();
x.Release();
```

Thread B: y.Acquire(); x.Acquire(); x.Release(); y.Release();

Thread B: X.Acquire(); y.Acquire(); Does it matter in x.Release(); which order the y.Release(); locks are released?

Train Example (Wormhole-Routed Network)

- Circular dependency (Deadlock!)
 - Each train wants to turn right, but is blocked by other trains
- Similar problem to multiprocessor networks
 - Wormhole-Routed Network: Messages trail through network like a "worm"
- Fix? Imagine grid extends in all four directions
 - Force ordering of channels (tracks)
 - » Protocol: Always go east-west first, then north-south
 - Called "dimension ordering" (X then Y)



Techniques for Recovering from Deadlock

- Terminate thread, force it to give up resources
 - In Bridge example, Godzilla picks up a car, hurls it into the river. Deadlock solved!
 - Hold dining lawyer in contempt and take away in handcuffs
 - But, not always possible killing a thread holding a mutex leaves world inconsistent
- Preempt resources without killing off thread
 - Take away resources from thread temporarily
 - Doesn't always fit with semantics of computation
- Roll back actions of deadlocked threads
 - Hit the rewind button on TiVo, pretend last few minutes never happened
 - For bridge example, make one car roll backwards (may require others behind him)
 - Common technique in databases (transactions)
 - Of course, if you restart in exactly the same way, may reenter deadlock once again
- Many operating systems use other options

Another view of virtual memory: Pre-empting Resources

<u>Thread A</u> :	<u>Thread B</u> :
AllocateOrWait(1 MB)	AllocateOrWait(1 MB)
AllocateOrWait(1 MB)	AllocateOrWait(1 MB)
Free(1 MB)	Free(1 MB)
Free(1 MB)	Free(1 MB)

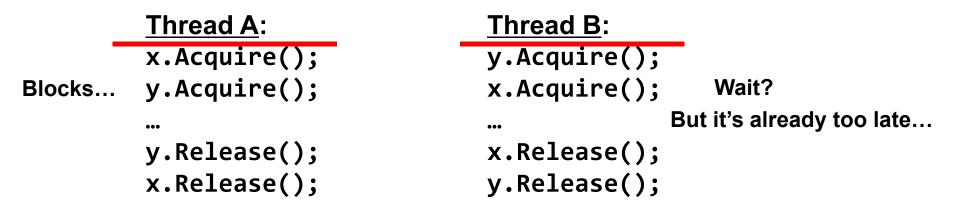
- Before: With virtual memory we have "infinite" space so everything will just succeed, thus above example won't deadlock
 - Of course, it isn't actually infinite, but certainly larger than 2MB!
- Alternative view: we are "pre-empting" memory when paging out to disk, and giving it back when paging back in
 - This works because thread can't use memory when paged out

Techniques for Deadlock Avoidance

- Idea: When a thread requests a resource, OS checks if it would result in deadlock
 - If not, it grants the resource right away
 - If so, it waits for other threads to release resources

THIS DOES NOT WORK!!!!

• Example:



Deadlock Avoidance: Three States

• Safe state

– System can delay resource acquisition to prevent deadlock

- Unsafe state
 - No deadlock yet...

Deadlock avoidance: prevent system from reaching an *unsafe* state

- But threads can request resources in a pattern that *unavoidably* leads to deadlock
- Deadlocked state
 - There exists a deadlock in the system
 - Also considered "unsafe"

Deadlock Avoidance

- Idea: When a thread requests a resource, OS checks if it would result in deadlock an unsafe state
 - If not, it grants the resource right away
 - If so, it waits for other threads to release resources
- Example:

<u>Thread A</u> :	<u>Thread B</u> :	
<pre>x.Acquire();</pre>	y.Acquire();	Wait until Thread A
y.Acquire();	<pre>x.Acquire();</pre>	
•••	•••	releases
y.Release();	<pre>x.Release();</pre>	mutex X
<pre>x.Release();</pre>	y.Release();	

- Toward right idea:
 - State maximum (max) resource needs in advance
 - Allow particular thread to proceed if:

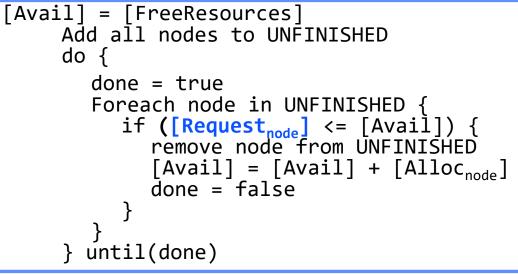
(available resources - #requested) \geq max remaining that might be needed by any thread

- Banker's algorithm (less conservative):
 - Allocate resources dynamically
 - » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
 - » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:

([Max_{node}]-[Alloc_{node}] <= [Avail]) for ([Request_{node}] <= [Avail]) Grant request if result is deadlock free (conservative!)



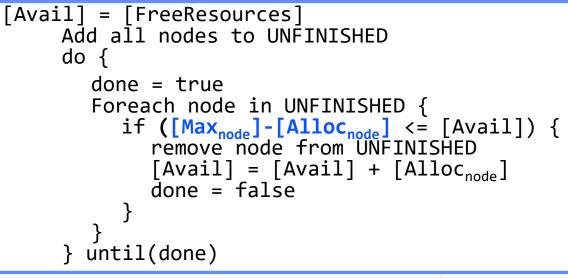
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 - Allocate resources dynamically
 - » Evaluate each request and grant if some ordering of threads is still deadlock free afterward
 - » Technique: pretend each request is granted, then run deadlock detection algorithm, substituting:

([Max_{node}]-[Alloc_{node}] <= [Avail]) for ([Request_{node}] <= [Avail]) Grant request if result is deadlock free (conservative!)

Keeps system in a "SAFE" state: there exists a sequence {T₁, T₂, ... T_n} with T₁ requesting all remaining resources, finishing, then T₂ requesting all remaining resources, etc..

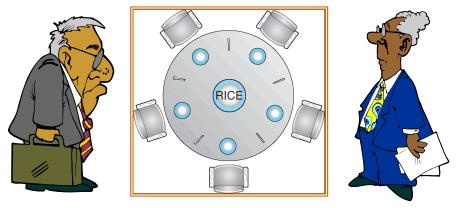


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Banker's Algorithm Example

- Banker's algorithm with dining lawyers
 - "Safe" (won't cause deadlock) if when try to grab chopstick either:
 - » Not last chopstick
 - » Is last chopstick but someone will have two afterwards
 - What if k-handed lawyers? Don't allow if:
 » It's the last one, no one would have k
 » It's 2nd to last, and no one would have k-1
 » It's 3rd to last, and no one would have k-2
 » ...





Conclusion

- Proportional Share Scheduling (Lottery Scheduling, Stride Scheduling CFS)
 - Give each job a share of the CPU according to its priority
 - Low-priority jobs get to run less often
 - But all jobs can at least make progress (no starvation)
- Four conditions for deadlocks
 - Mutual exclusion
 - Hold and wait
 - No preemption
 - Circular wait
- Techniques for addressing Deadlock
 - Deadlock prevention:
 - » write your code in a way that it isn't prone to deadlock
 - Deadlock recovery:
 - » let deadlock happen, and then figure out how to recover from it
 - Deadlock avoidance:
 - » dynamically delay resource requests so deadlock doesn't happen
 - » Banker's Algorithm provides on algorithmic way to do this
 - <u>Deadlock denial</u>:
 - » ignore the possibility of deadlock