## Splines and Curves **3**

## CS184: COMPUTER GRAPHICS AND IMAGING

Feb 7 - Feb 8, 2024

## **1** Polynomial interpolation

In polynomial interpolation, our goal is to fit a polynomial given some information about points and derivatives of the desired curve. As seen in lecture, we can solve this problem by formulating it as a system of linear equations in the coefficients of the polynomial, and then finding a solution to these equations.

1. List all degree 2 polynomials satisfying: f(0) = 1, f(1) = 2, f(2) = 5. What about degree 3?

**Solution:** Let  $f(t) = at^2 + bt + c$  be the degree 2 polynomial. The system of constraints we get is:

c = 1a + b + c = 24a + 2b + c = 5

Solving the system yields a unique solution a = 1, b = 0, c = 1, so  $f(t) = t^2 + 1$  is the only degree 2 polynomial.

From linear algebra, any degree 3 polynomial f that satisfies these constraints can be decomposed into  $f_1(t) + f_2(t)$  where  $f_1(t)$  satisfies the three constraints, and  $f_2(0) = f_2(1) = f_2(2) = 0$ . We can take  $f_1(t) = t^2 + 1$ . For  $f_2(t) = at^3 + bt^2 + ct + d$ , we get the following constraints:

$$d = 0$$
$$a + b + c + d = 0$$

$$8a + 4b + 2c + d = 0$$

Solving, we get that b = -3a and c = 2a. So, every  $f_2(t)$  has the form  $a(t^3 - 3t^2 + 2t)$ . This tells us that all the degree 3 polynomials that satisfy the constraints are of the form  $f(t) = t^2 + 1 + a(t^3 - 3t^2 + 2t)$ , where *a* is an arbitrary real number. 2. Suppose we have a list of constraints:

$$f(0) = p_0, f'(0) = d_0, f(1) = p_1, f'(1) = d_1, \dots, f(k) = p_k, f'(k) = d_k$$

For a function f, what are the tradeoffs when either

- solving for a single 2k + 1 degree polynomial, versus
- taking the point and derivative constraints at *i* and *i* − 1 for *i* = 1,..., *k* and using them to fit *k* cubic Hermite splines?

**Solution:** If we solve for a single high-degree polynomial, it will have infinitely many continuous derivatives. However, it may have wild behavior between the control points, and furthermore, changing a single constraint will affect the entire curve.

If we solve for *k* cubic Hermite splines, then the resulting curve will be continuous and have a continuous derivative. The curve will have infinitely many continuous derivatives between the control points, but may have a discontinuous second derivative at the control points. However, changing a single constraint will only change two of the cubic splines: those that have the control point as an endpoint.

3. A cubic polynomial  $f(t) = at^3 + bt^2 + ct + d$  is uniquely determined by specifying both its values and its second derivatives at t = 0 and t = 1 (as opposed to its values and its first derivatives, as in Hermite interpolation). Write out the system of linear equations given by these constraints on f(0), f(1), f''(0), f''(1).

**Solution:** This will yield four equations:

d = f(0)a + b + c + d = f(1)2b = f''(0)6a + 2b = f''(1)

4. Write the matrix which you would invert and apply to the vector  $(f(0), f(1), f''(0), f''(1))^T$  to recover a, b, c, and d in the previous problem.

Solution:

$$\begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 2 & 0 & 0 \\ 6 & 2 & 0 & 0 \end{pmatrix}^{-1} \begin{pmatrix} f(0) \\ f(1) \\ f''(0) \\ f''(1) \end{pmatrix}$$

5. Now, compute the numerical answer for the problem above and use its columns to identify four "basis polynomials" for this problem.



## 2 de Casteljau's algorithm

de Casteljau's algorithm allows us to create a smooth Bézier curve from a series of control points. Though we most commonly apply it to four points to get a cubic Bézier curve, it can be applied to any number of points.

In order to find f(t) on a curve defined for  $t \in [0, 1]$ , de Casteljau gives us the following iterative step:

• Given k + 1 points  $\mathbf{p}_0, \ldots, \mathbf{p}_{k}$ , create a new set of k points  $\mathbf{p}'_0, \ldots, \mathbf{p}'_{k-1}$  by computing

$$\mathbf{p}'_{\mathbf{i}} = \operatorname{lerp}(\mathbf{p}_{\mathbf{i}}, \mathbf{p}_{\mathbf{i+1}}, t) \ ,$$

where  $\operatorname{lerp}(\mathbf{p}_{i}, \mathbf{p}_{i+1}, t) = (1 - t)\mathbf{p}_{i} + t\mathbf{p}_{i+1}$ .

Iteratively applying this step until we are left with a single point yields f(t) for the Bézier curve defined by the initial set of points.

1. For a Bézier curve defined by 3 control points, what is the degree of the polynomial you get from de Casteljau's algorithm? What about for *n* points?

**Solution:** Three points requires a quadratic polynomial, and n points requires a polynomial of degree n - 1.

One way to arrive at this answer is to look at the algebraic expression of Bézier curves: a Bézier curve of order n needs n+1 points.

$$\mathbf{b}^n(t) = \sum_{j=0}^n \mathbf{b}_j B_j^n(t)$$

where

 $\mathbf{b}^n(t)$ :Bézier curve of degreen n

 $\mathbf{b}_j$  :control points

 $B_i^n(t)$  :Bernstein polynomial of degreen n

$$\binom{n}{j}t^j(1-t)^{n-j}$$

2. Use de Casteljau's algorithm to find the point where t = 1/2 on the Bézier curve defined by these control points.



3. Use de Casteljau's algorithm to find the point where t = 1/3 on the Bézier curve defined by these control points.





4. Show that the point with parameter *t* on the Bézier curve with control points  $\mathbf{p_0}$ ,  $\mathbf{p_1}$ ,  $\mathbf{p_2}$ ,  $\mathbf{p_3}$  is given by  $s^3\mathbf{p_0} + 3s^2t\mathbf{p_1} + 3st^2\mathbf{p_2} + t^3\mathbf{p_3}$ , where s = 1 - t. (Hint: apply de Casteljau's algorithm algebraically to the control points. With this setup, linear interpolation between two points  $\mathbf{q_0}$  and  $\mathbf{q_1}$  looks like  $s\mathbf{q_0} + t\mathbf{q_1}$ .)

**Solution:** Level 0:  $\mathbf{p}_0$ ,  $\mathbf{p}_1$ ,  $\mathbf{p}_2$ ,  $\mathbf{p}_3$ Level 1:  $s\mathbf{p}_0 + t\mathbf{p}_1$ ,  $s\mathbf{p}_1 + t\mathbf{p}_2$ ,  $s\mathbf{p}_2 + t\mathbf{p}_3$ Level 2:  $s(s\mathbf{p}_0 + t\mathbf{p}_1) + t(s\mathbf{p}_1 + t\mathbf{p}_2)$ ,  $s(s\mathbf{p}_1 + t\mathbf{p}_2) + t(s\mathbf{p}_2 + t\mathbf{p}_3)$ Simplify:  $s^2\mathbf{p}_0 + 2st\mathbf{p}_1 + t^2\mathbf{p}_2$ ,  $s^2\mathbf{p}_1 + 2st\mathbf{p}_2 + t^2\mathbf{p}_3$  Level 3:  $s(s^2\mathbf{p_0} + 2st\mathbf{p_1} + t^2\mathbf{p_2}) + t(s^2\mathbf{p_1} + 2st\mathbf{p_2} + t^2\mathbf{p_3})$ Simplify:  $s^3\mathbf{p_0} + 3s^2t\mathbf{p_1} + 3st^2\mathbf{p_2} + t^3\mathbf{p_3}$ 

5. What is this matrix product? (Hint: *don't* expand it. Instead, think about what each matrix in the product does. How are they related to de Casteljau's algorithm?)

$$\begin{pmatrix} s & t \end{pmatrix} \begin{pmatrix} s & t & 0 \\ 0 & s & t \end{pmatrix} \begin{pmatrix} s & t & 0 & 0 \\ 0 & s & t & 0 \\ 0 & 0 & s & t \end{pmatrix}$$

**Solution:** Without doing any additional computation, the matrix product must be  $(s^3, 3s^2t, 3st^2, t^3)$ . Why? Starting from the right, the first matrix takes a set of points  $\mathbf{p_0}$ ,  $\mathbf{p_1}$ ,  $\mathbf{p_2}$ ,  $\mathbf{p_3}$  and maps them to the three points that result in applying one iteration of de Casteljau's algorithm. The other two matrices in the product perform the remaining 2 iterations of the algorithm. So, applying this matrix product to the points  $\mathbf{p_0}$ ,  $\mathbf{p_1}$ ,  $\mathbf{p_2}$ ,  $\mathbf{p_3}$  results in  $s^3\mathbf{p_0} + 3s^2t\mathbf{p_1} + 3st^2\mathbf{p_2} + t^3\mathbf{p_3}$  by the previous part. Hence, the matrix product must be  $(s^3, 3s^2t, 3st^2, t^3)$ .

Hence, applying this matrix (assuming s = 1 - t as in the previous problem) to a matrix of points

$$\begin{pmatrix} \mathbf{p_0}^T \\ \mathbf{p_1}^T \\ \mathbf{p_2}^T \\ \mathbf{p_3}^T \end{pmatrix}$$

gives the point at parameter value t on the respective Bézier curve.

Alternatively, you could just do the computation... but why would you do that?

$$(s \ t) \begin{pmatrix} s \ t \ 0 \\ 0 \ s \ t \end{pmatrix} \begin{pmatrix} s \ t \ 0 \\ 0 \ s \ t \end{pmatrix} \begin{pmatrix} s \ t \ 0 \ 0 \\ 0 \ s \ t \ 0 \\ 0 \ 0 \ s \ t \end{pmatrix}$$
$$= \begin{pmatrix} s^2 \ 2st \ t^2 \end{pmatrix} \begin{pmatrix} s \ t \ 0 \ 0 \\ 0 \ s \ t \ 0 \\ 0 \ 0 \ s \ t \end{pmatrix}$$
$$= \begin{pmatrix} s^3 \ 3s^2t \ 3st^2 \ t^3 \end{pmatrix}$$