CS61C: Great Ideas in Computer Architecture (aka Machine Structures)

Lectures **12**+13: RISC-V Instruction Formats

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Agenda

- Lecture 12
    - Intro
    - R-types
    - I-types
    - S-types
- Lecture 13
    - U-types
    - B-types
    - J-types
    - Concluding Notes
- (Putting these two lectures together so it's easier to reference later)

Agenda

- Intro
- R-types
- I-types
- S-types
- U-types
- B-types
- J-types
- Concluding Notes

Agenda

- **Intro**
- R-types
- I-types
- S-types
- U-types
- B-types
- J-types
- Concluding Notes

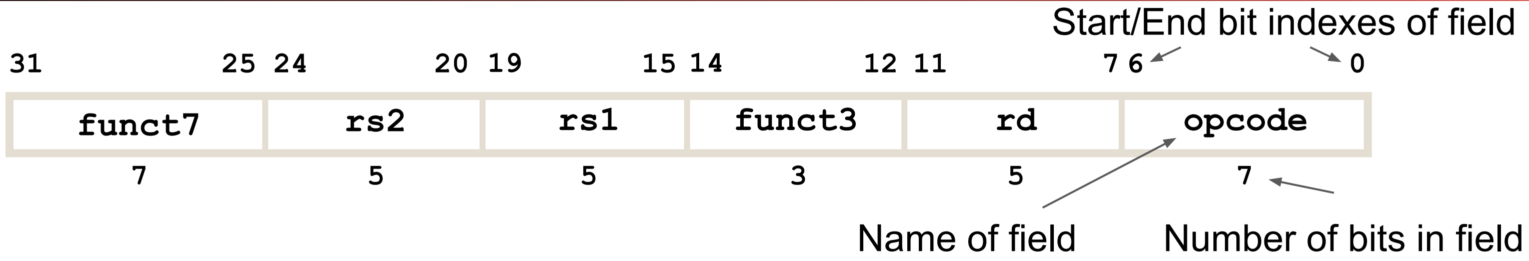
Overview

- Assembly languages are primarily useful because they can be directly translated into binary code that can be run by a CPU.
- RISC-V has a particularly simple structure: Each instruction is translated into instructions of the same length; for RV32 (the version we learn in this class), each instruction is 32 bits (4 bytes) long.
- Different instructions require different values
  - "add" specifies 3 register inputs
  - "addi" specifies 2 registers and 1 immediate
- As such, we define multiple formats, with each instruction getting encoded in its format.
- Overall design philosophy: Split the 32 bits into "chunks" for each component of an instruction, and try to overlap these chunks as much as possible to simplify the underlying circuit.
- Most of this information is presented in compressed form on our reference card, so there's no need to memorize the exact numbers associated with each instruction

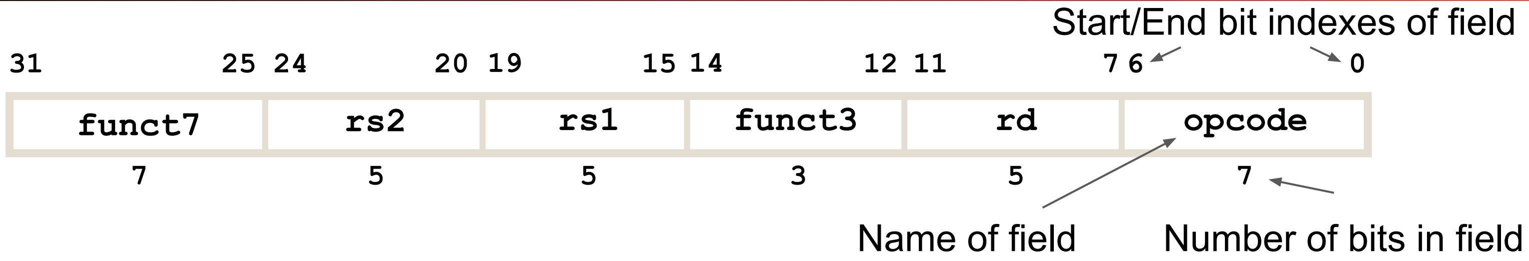
Agenda

- Intro
- **R-types**
- I-types
- S-types
- U-types
- B-types
- J-types
- Concluding Notes

R-Type

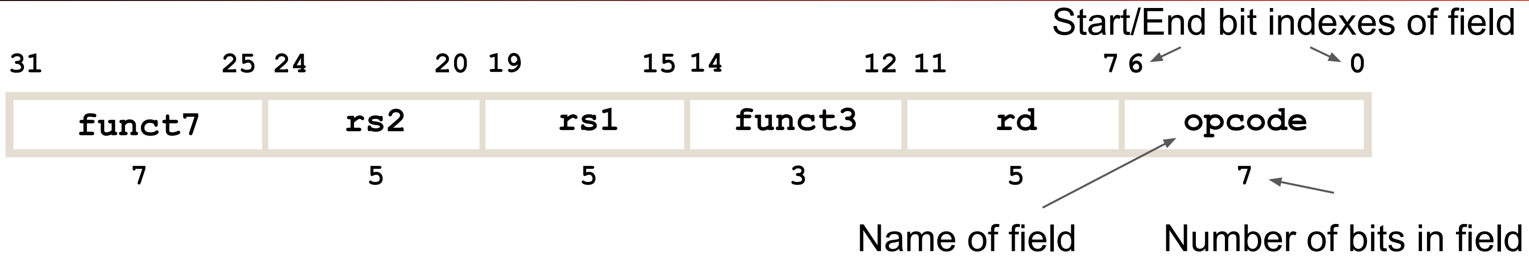

Start/End bit indexes of field
31
25 24
20 19
15 14
12 11
7 6
0
funct7
rs2
rs1
funct3
rd
opcode
7
5
5
3
5
7
Name of field
Number of bits in field

R-Type



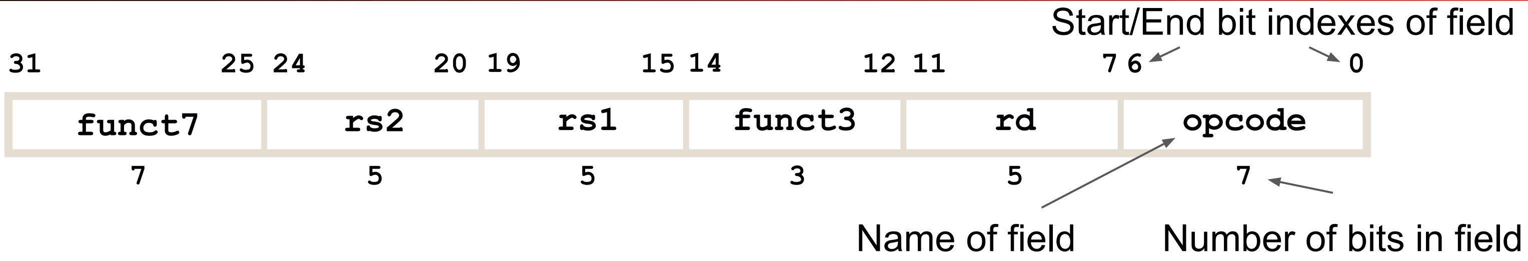
- Designed for instructions with 3 registers and no immediate
  - Arithmetic operators like add or sub
- Each register is identified by its number. 32 registers → 5 bits to identify one register uniquely
  - x0 → 0b00000
  - a0 → x10 → 0b01010
- rd: Destination register
- rs1: 1st source register
- rs2: 2nd source register

R-Type



- opcode: Instruction identifier: Always the last 7 bits of the instruction over all instruction formats
  - Can therefore be used to determine which instruction format is currently in use.
- Some sets of similar instructions get assigned the same opcode
  - Ex. All arithmetic R-type instructions have the opcode 0x33
- funct3: 3-bit identifier to differentiate instructions with the same opcode
- funct7: Extra 7-bit identifier for extremely similar instructions with the same opcode and funct3 (such as sra and srl)

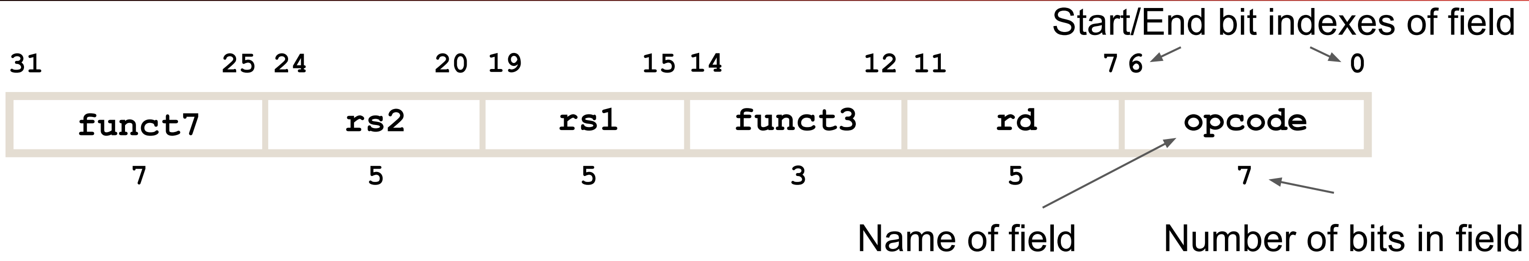
R-Type: Example Translation



Translate "add s2 s3 s4" to hex

- Step 1: Determine opcode and instruction type from reference card
  - `Type: R`
  - `Opcode: 0b011 0011`
  - `funct3: 0b000`
  - `funct7: 0b000 0000`
- Step 2: Write out format
  - `0b ??????? ????? ????? ??? ????? ???????`

R-Type: Example Translation

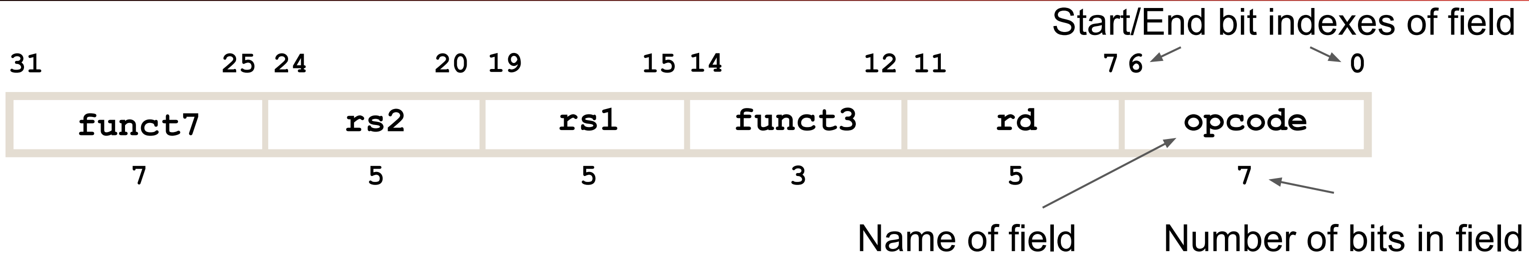


Translate "add s2 s3 s4" to hex

- Step 3: Registers
  - `s2 -> x18 ->0b10010 (rd)`
  - `s3 -> x19 ->0b10011 (rs1)`
  - `s4 -> x20 ->0b10100 (rs2)`

  - `0b 0000000 10100 10011 000 10010 0110011`

R-Type: Example Translation



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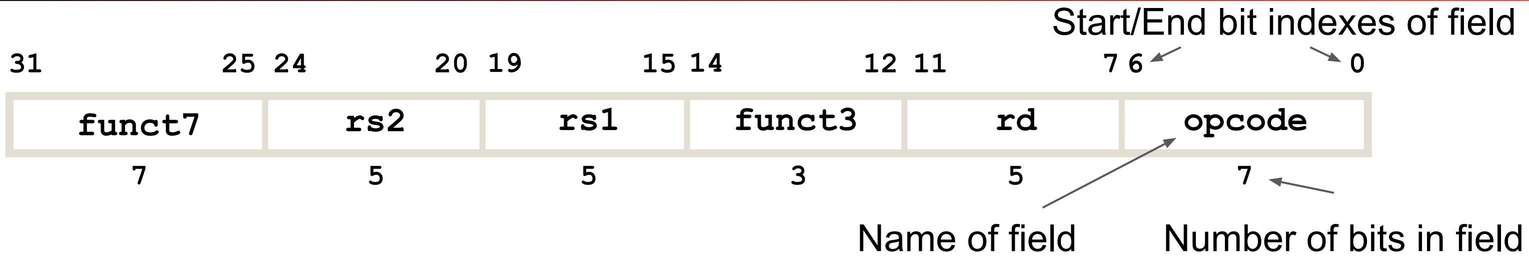
  - `0b 0000000 ????? ????? 000 ????? 0110011`

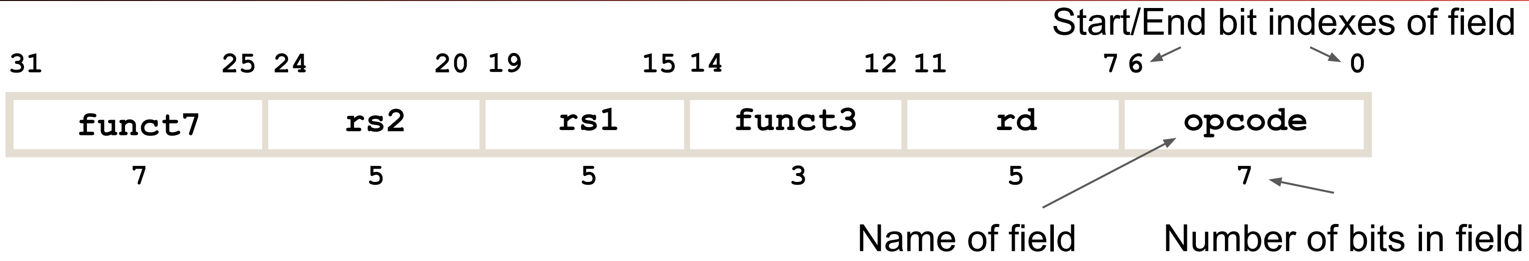
R-Type: Example Translation



Translate "add s2 s3 s4" to hex

- Step 4: Convert to hex
  - `0b 0000000 10100 10011 000 10010 0110011`
  - `0b 0000 0001 0100 1001 1000 1001 0011 0011`
  - `0x01498933`

R-Type: Example Translation

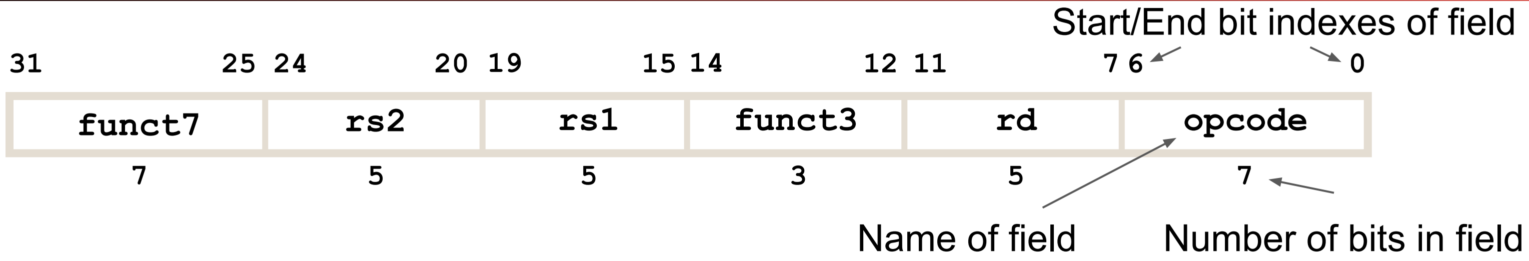


Translate "0x01B3 42B3" to RV32 instruction

- Step 1: Convert to binary and determine opcode and instruction type from reference card
  - `Binary: 0b0000 0001 1011 0011 0100 0010 1011 0011`
  - `Opcode: last 7 bits = 0b011 0011`
  - `Conclusion: R-type instruction`

Updated in class from “Translate … to hex”

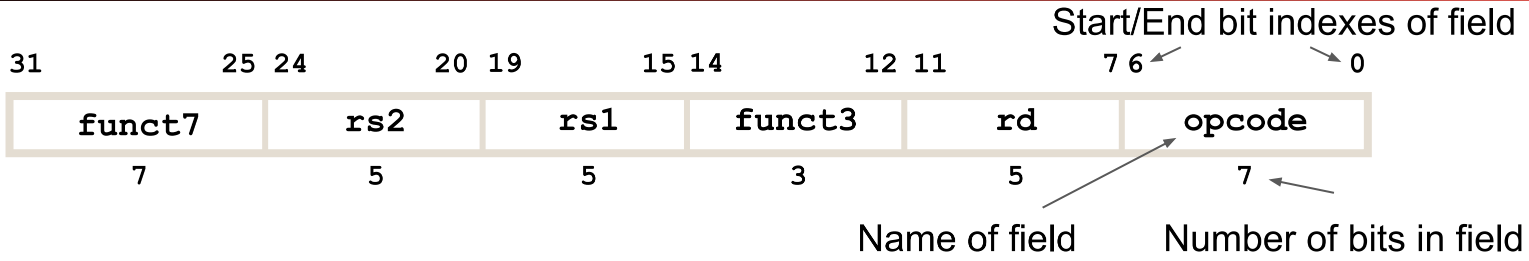
R-Type: Example Translation



Translate "0x01B3 42B3" to RV32 instruction

- Step 2: Split according to R-type format
  - `Binary: 0b0000000 11011 00110 100 00101 0110011`
- Step 3: Determine funct3/funct7 for instruction
  - `funct3: 0b100`
  - `funct7: 0b000 0000`
  - `Conclusion: xor operation`

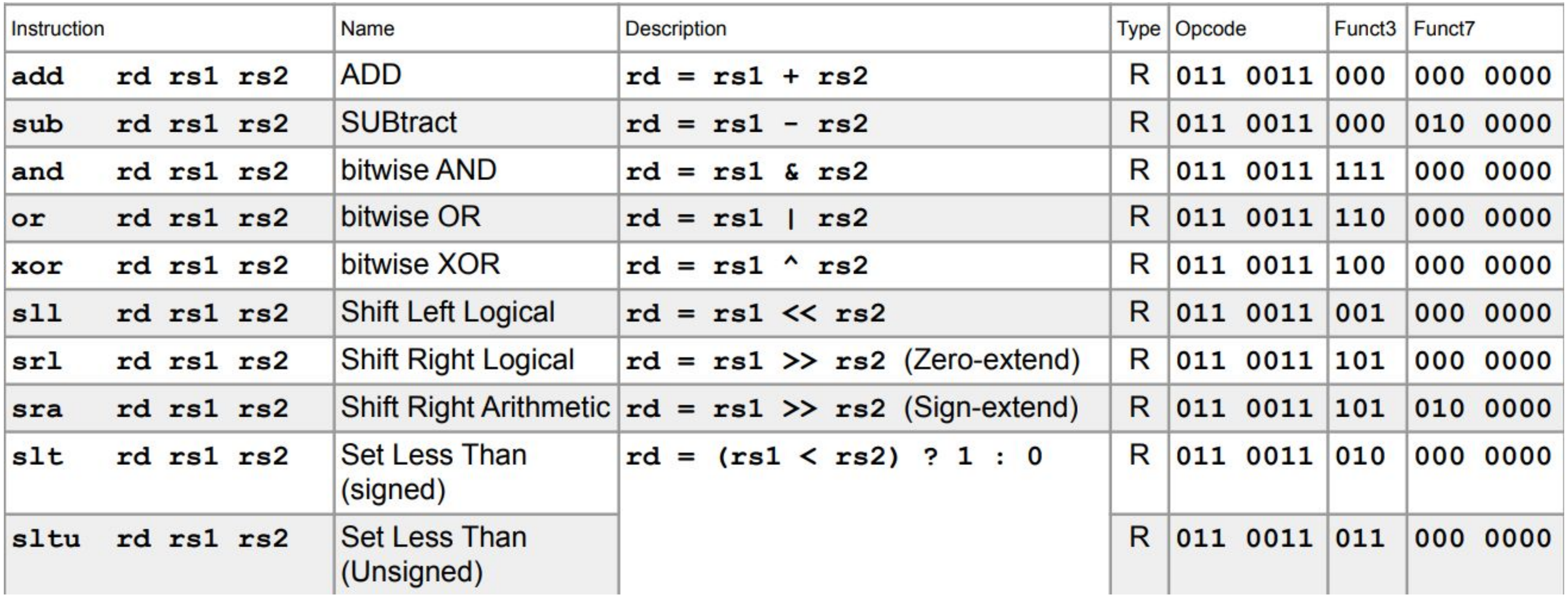
R-Type: Example Translation



Translate "0x01B3 42B3" to RV32 instruction

- Step 2: Split according to R-type format
  - `Binary: 0b0000000 11011 00110 100 00101 0110011`
- Step 4: Determine registers
  - `rd:  0b00101 -> x5 -> t0`
  - `rs1: 0b00110 -> x6 -> t1`
  - `rs2: 0b11011 -> x27-> s11`
- Conclusion: `xor t0 t1 s11`

R-Type: All Instructions



Instruction	Name	Description	Type	Opcode	Funct3	Funct7
`add rd rs1 rs2`	ADD	`rd = rs1 + rs2`	R	011 0011	000	000 0000
`sub rd rs1 rs2`	SUBtract	`rd = rs1 - rs2`	R	011 0011	000	010 0000
`and rd rs1 rs2`	bitwise AND	`rd = rs1 & rs2`	R	011 0011	111	000 0000
`or rd rs1 rs2`	bitwise OR	`rd = rs1 | rs2`	R	011 0011	110	000 0000
`xor rd rs1 rs2`	bitwise XOR	`rd = rs1 ^ rs2`	R	011 0011	100	000 0000
`sll rd rs1 rs2`	Shift Left Logical	`rd = rs1 << rs2`	R	011 0011	001	000 0000
`srl rd rs1 rs2`	Shift Right Logical	`rd = rs1 >> rs2` (Zero-extend)	R	011 0011	101	000 0000
`sra rd rs1 rs2`	Shift Right Arithmetic	`rd = rs1 >> rs2` (Sign-extend)	R	011 0011	101	010 0000
`slt rd rs1 rs2`	Set Less Than (signed)	`rd = (rs1 < rs2) ? 1 : 0`	R	011 0011	010	000 0000
`sltu rd rs1 rs2`	Set Less Than (Unsigned)		R	011 0011	011	000 0000

Agenda

I-Type

31 20	19 15	14 12	11 7	6 0
imm[11:0]	rs1	funct3	rd	opcode
12	5	3	5	7

I-Type

31 20	19 15	14 12	11 7	6 0
`imm[11:0]`	`rs1`	`funct3`	`rd`	`opcode`
12	5	3	5	7

- Designed for instructions with 2 registers (rs1 and rd) and 1 immediate
  - Arithmetic operations with immediates
  - Loads
  - jalr
  - ecall and ebreak are also technically I-types, but they ignore the rd, rs1, and immediate, and their value isn't really in scope.
  - Stores use rs1 and rs2, so we have a separate instruction format for them.
- Most components are stored the same way as before, with the addition of the imm component

I-Type

31 20	19 15	14 12	11 7	6 0
imm[11:0]	rs1	funct3	rd	opcode
12	5	3	5	7

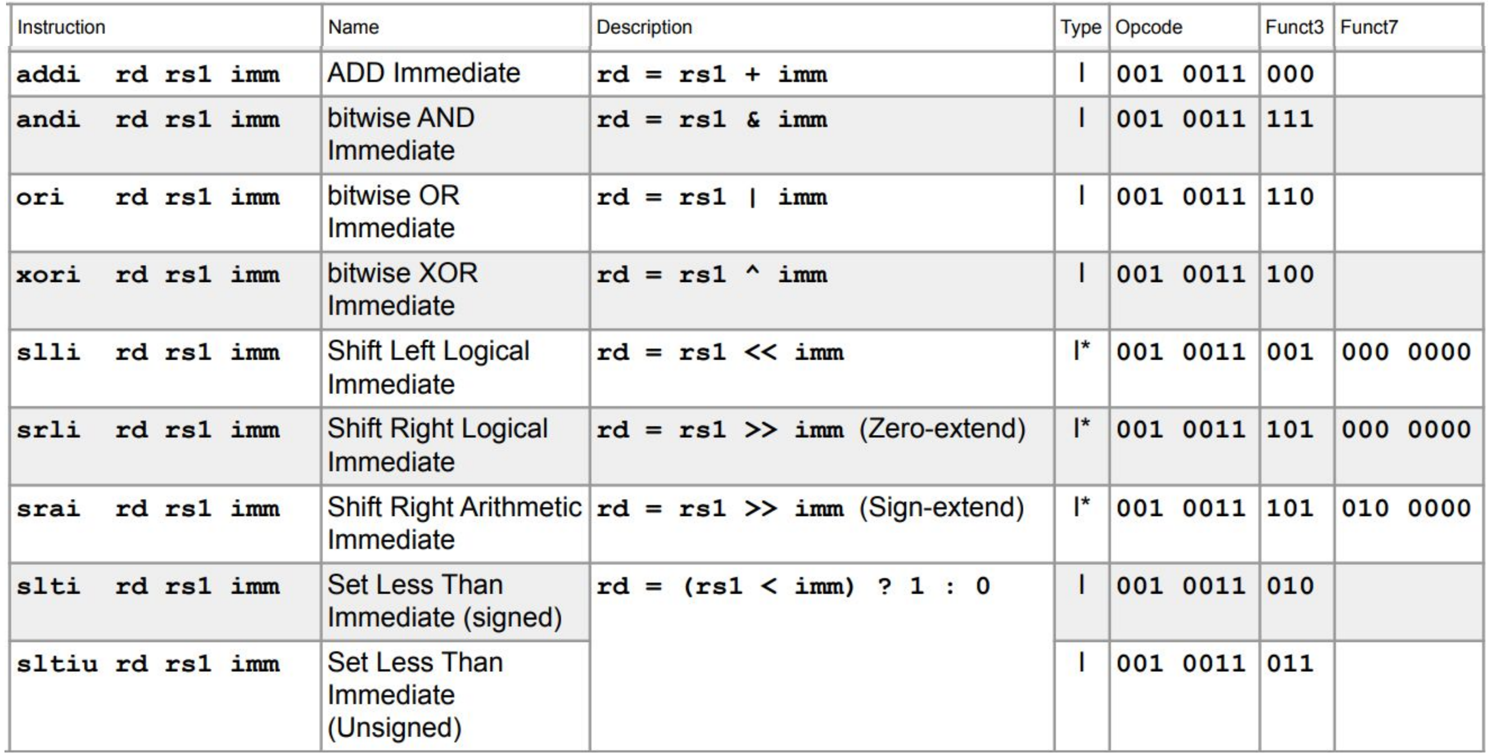
- Immediate is stored in the component imm
  - Note the [11:0], which indicates that we store the 11th bit of the immediate at position 31, the 10th bit of the immediate at position 30, …, the 0th bit of the immediate at position 20
- I-type immediates are 12 bits
  - Therefore, we can only store a 12-bit integer as an immediate
- Most instructions use signed immediates, so our range for I-type immediates is [-2048,2047].
  - Ex. "addi sp sp -2048" is valid, but "addi sp sp -2052" is NOT valid RISC-V code

I*-Type

31 25	24 20	19 15	14 12	11 7	6 0
funct7	imm[4:0]	rs1	funct3	rd	opcode
7	5	5	3	5	7

- Special note: For shift instructions (slli, srli, srai), we only have a max shift of 31
  - Any larger shift will shift all our data off the number
- As such, these instructions use a modified I-type that specifies a funct7

I-Type: Arithmetic Instructions



Instruction	Name	Description	Type	Opcode	Funct3	Funct7
`addi rd rs1 imm`	ADD Immediate	`rd = rs1 + imm`	I	`001 0011`	`000`	
`andi rd rs1 imm`	bitwise AND Immediate	`rd = rs1 & imm`	I	`001 0011`	`111`	
`ori rd rs1 imm`	bitwise OR Immediate	`rd = rs1 | imm`	I	`001 0011`	`110`	
`xori rd rs1 imm`	bitwise XOR Immediate	`rd = rs1 ^ imm`	I	`001 0011`	`100`	
`slli rd rs1 imm`	Shift Left Logical Immediate	`rd = rs1 << imm`	I*	`001 0011`	`001`	`000 0000`
`srli rd rs1 imm`	Shift Right Logical Immediate	`rd = rs1 >> imm` (Zero-extend)	I*	`001 0011`	`101`	`000 0000`
`srai rd rs1 imm`	Shift Right Arithmetic Immediate	`rd = rs1 >> imm` (Sign-extend)	I*	`001 0011`	`101`	`010 0000`
`slti rd rs1 imm`	Set Less Than Immediate (signed)	`rd = (rs1 < imm) ? 1 : 0`	I	`001 0011`	`010`	
`sltiu rd rs1 imm`	Set Less Than Immediate (Unsigned)		I	`001 0011`	`011`	

I-Type: Load and Jump Instructions

Instruction	Name	Description	Type	Opcode	Funct3	Funct7
`lb rd imm(rs1)`	Load Byte	`rd =` 1 byte of memory at address `rs1 + imm`, sign-extended	I	`000 0011`	`000`	
`lbu rd imm(rs1)`	Load Byte (Unsigned)	`rd =` 1 byte of memory at address `rs1 + imm`, zero-extended	I	`000 0011`	`100`	
`lh rd imm(rs1)`	Load Half-word	`rd =` 2 bytes of memory starting at address `rs1 + imm`, sign-extended	I	`000 0011`	`001`	
`lhu rd imm(rs1)`	Load Half-word (Unsigned)	`rd =` 2 bytes of memory starting at address `rs1 + imm`, zero-extended	I	`000 0011`	`101`	
`lw rd imm(rs1)`	Load Word	`rd =` 4 bytes of memory starting at address `rs1 + imm`	I	`000 0011`	`010`	

`jalr rd rs1 imm`	Jump And Link Register	`rd = PC + 4`
		`PC = rs1 + imm`
			I	`110 0111`	`000`

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- **S-types**
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S-Type

31 25	24 20	19 15	14 12	11 7	6 0
`imm[11:5]`	`rs2`	`rs1`	`funct3`	`imm[4:0]`	`opcode`
7	5	5	3	5	7

- Designed for instructions with 2 source registers and an immediate
  - Store instructions
- Note that we put rs1 and rs2 in the same spots as in R-type instructions, so we need to split the immediate bits to "fill in" the remaining gaps.
- Immediate is similar, but now we need to "piece together the immediate"
  - Ex. If we had immediate 0b1101 0101 0001, then we would put 0b110 1010 in the first immediate box, and 0b10001 in the second immediate box.

S-Type: All Instructions

Instruction	Name	Description	Type	Opcode	Funct3	Funct7
`sb rs2 imm(rs1)`	Store Byte	Stores least-significant byte of `rs2` at the address `rs1 + imm` in memory	S	`010 0011`	`000`	
`sh rs2 imm(rs1)`	Store Half-word	Stores the 2 least-significant bytes of `rs2` starting at the address `rs1 + imm` in memory	S	`010 0011`	`001`	
`sw rs2 imm(rs1)`	Store Word	Stores `rs2` starting at the address `rs1 + imm` in memory	S	`010 0011`	`010`	

- Warning: rs2 comes before rs1 in store instructions!
  - This is because we want rs1 to always be the register that gets added to immediates, to simplify our circuitry

Practice: Translate an instruction!

The code for this is embedded in HW, so it will not be made public.

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Lectures 12+**<u>13</u>**: RISC-V Instruction Formats

Instructors: Lisa Yan, Justin Yokota

Extenuating Circumstances Extension Philosophy

- One of the learning goals is **early communication** and **time management**
    - This includes being able to own up to your responsibilities when you cannot make this deadline
- The deadline that we consider is the **on-time submission deadline**. You are expected to be trying to make this on-time deadline.
    - Labs/Projects: Tuesday
    - Homeworks: Thursday
- Philosophy: The guaranteed extension (1 day for labs/hw, 2 days for proj):
    - is supposed to be a **safety net** for unanticipated issues that come up that directly impact your ability to complete the assignment by the original deadline
    - is **not** intended to be used as an adjusted deadline so you can focus on other work instead

Extenuating Circumstances Extensions PSA

- What we're noticing:
    - **Lots of people** are using the guaranteed extension policy and extenuating circumstances form **as intended.** ✅
    - ⚠️ However, **there is a subset of students that are misusing the policy**, massively increasing staff overhead and reducing staff capacity to support concurrent assignments.
- What we look for when you submit to the extenuating circumstances form:
    - Did you reach out before the original deadline?
    - If not, when did you start the assignment? Was it before the original deadline?
        - PrairieLearn has detailed logs; please commit often for labs and projects
    - Is this recurring? Have you submitted to the form before?
        - Mostly used to determine if a face-to-face meeting is necessary
- Now that we've settled into the semester, we will be enforcing this policy.
    - More details to be released in next week's announcements.

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U-type instructions: lui and auipc

- Up until now, we haven't talked about what these two instructions actually do
- Load Upper Immediate: lui rd imm
  - Sets rd to imm << 12
- Add Upper Immediate to Program Counter: auipc rd imm
  - Sets rd to (imm << 12) + PC
- Primarily used in two pseudoinstructions:
  - li rd imm: Set rd to imm
  - la rd Label: Set rd to the address of Label

LUI

- Consider li:
  - How would you translate "li t0 0x12345678" to instructions?
    - Can't just do "addi t0 x0 0x12345678" because that's way too big
  - Multiple addis or addis with sllis would work, but require many instructions for some numbers.
  - Ideally, we want to be able to do this in exactly 2 instructions
    - 1 instruction is impossible since no 32-bit object can encode all 2^{32} possible immediates AND all 32 possible destination registers
- Solution: lui instruction
  - In the above example, we can do:

```
lui t0 0x12345
addi t0 t0 0x678
```

- This works, as long as we give U-type instructions 20 bits of immediate

LUI: Corner case

- How would you translate "li t0 0xABCDEFFF" to instructions?
- Initial idea:

  -
    ```
    lui t0 0xABCDE
    addi t0 t0 0xFFF
    ```
- Problem: 0xFFF isn't 4095; it's -1
  - After the first line, we get t0 = 0xABCDE000
  - After the second line, we get t0 = 0xABCDDFFF instead!
- As such, we need to be careful in this case and do lui t0 0xABCDF instead

  -
    ```
    lui t0 0xABCDF #t0 stores 0xABCDF000
    addi t0 t0 0xFFF #t0 stores 0xABCDEFFF
    ```
- This ends up affecting li instructions only when the offset has its 11th bit set to 1, so it's an easy case to forget about.

AUIPC and Relative Addressing

- auipc similarly gets used primarily as a way to save an arbitrary value when used with an addi
- The main difference is that it adds its result to PC
- Often when writing code, we want to allow multiple programs to be combined (like with libraries), but that would change the addresses of all the labels in our code
- To avoid this issue, many instructions involving labels use relative addressing instead of absolute addressing.
  - Absolute address: "This label is at location 0x000000FC". This fails if we move our code to a different place in memory
  - Relative address: "This label is 48 bytes after the current line of code". This still works if we move both the line of code and the label the same distance.
- As such, auipc often gets used with la instructions.

U-Type

31 12	11 7	6 0
imm[32:12]	rd	opcode
20	5	7

- Designed for instructions which need 20 immediate bits
  - lui and auipc
- Note that there's a slight inconsistency between how the immediate is treated in the instruction format compared to the instruction itself
  - Note that the instruction format listed here doesn't store the bottom 12 bits of the value imm
  - If we write "lui t0 0x12345", we should treat imm as 0x12345000, and thus store 0x12345 in those 20 bits, instead of 0x00123.
  - This is due to RISC-V not actually having a formal syntax (RISC-V only specifies the binary encoding), so the syntax that got adopted was a variation of x86 syntax

U-Type: All Instructions

Instruction	Name	Description	Type	Opcode	Funct3
`auipc rd imm`	Add Upper Immediate to PC	`rd = PC + (imm << 12)`	U	001 0111	
`lui   rd imm`	Load Upper Immediate	`rd = imm << 12`	U	011 0111	

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Labels

- Recall: Labels don't actually exist. When translating RISC-V to binary, we need to convert all labels into explicit references to a particular line of code
- Recall: Since we want to be able to move around code blocks in memory, we prefer to use relative addressing instead of absolute addresses.
- Solution: When writing code using labels, first convert the label into an *offset*, which specifies how many bytes off we would need to jump to get to that label.

Example: Converting Labels into offsets

Translate the labels in the following code into their corresponding offsets:

```
            beq x0 x0 target
            addi x0 x0 100
target:     addi x0 x0 100
            j target
            li t0 0x5F3759DF
            beq t0 t0 target
```

Example: Converting Labels into offsets

Translate the labels in the following code into their corresponding offsets:

```
           beq x0 x0 target #+2 instructions = 8 bytes, so offset=8
           addi x0 x0 100
target:    addi x0 x0 100
           j target
           li t0 0x5F3759DF
           beq t0 t0 target
```

Example: Converting Labels into offsets

Translate the labels in the following code into their corresponding offsets:

```
           beq x0 x0 target #+2 instructions = 8 bytes, so offset=8
           addi x0 x0 100
target:    addi x0 x0 100
           j target # -1 instruction = -4 bytes, so offset=-4
           li t0 0x5F3759DF
           beq t0 t0 target
```

Example: Converting Labels into offsets

Translate the labels in the following code into their corresponding offsets:

```
            beq x0 x0 target #+2 instructions = 8 bytes, so offset=8
            addi x0 x0 100
target:     addi x0 x0 100
            j target # -1 instruction = -4 bytes, so offset=-4
            li t0 0x5F3759DF #The li here is actually 2 instructions
            beq t0 t0 target #-4 instructions, so offset=-16
```

Storing offsets

- Note that all the previous offsets were multiples of 4
  - Each instruction is always going to take 4 bytes of memory, so all offsets should be multiples of 4
- If we stored the immediate directly as a signed number, we'd always have the last two bits 0s.
  - At the same time, we have only a limited number of bits to store an immediate, which limits the total distance we can jump
  - If we can decide not to store those 0 bits, we can extend our immediate and allow for longer jumps
- Therefore, we don't store the lowest bit of an offset immediate
  - Some RISC-V extensions use 16-bit instructions, so we can't choose not to store the bottom two bits

B-Type

31 ... 25	24 ... 20	19 ... 15	14 ... 12	11 ... 7	6 ... 0
imm[12|10:5]	rs2	rs1	funct3	imm[4:1|11]	opcode
7	5	5	3	5	7

- Branch instructions also use 2 source registers and an immediate, so the format is similar to S-Type
  - This format is sometimes referred to as SB-type for that reason
- Note that the immediate is stored in a strange pattern
  - If we had the binary `0bA BCDE FGHI JKLM` (where each letter was a bit), the first box would store `0bACD EFGH` and the second box would store `0bI JKLB.` Bit M isn't stored.
  - This is also to simplify the underlying circuit; note that we put the MSB of our immediate in the MSB of our instruction (to simplify sign-extension), and other than that put 10 of the remaining 11 bits in the same position as S-type instructions
- Branch instructions have 13-bit immediates = [-4096, 4094] range, which is up to 2^{10} instructions up/down.

B-Type: All Instructions

Instruction	Name	Description	Type	Opcode	Funct3
`beq rs1 rs2 label`	Branch if EQual	`if (rs1 == rs2)` `PC = PC + offset`	B	110 0011	000
`bge rs1 rs2 label`	Branch if Greater or Equal (signed)	`if (rs1 >= rs2)` `PC = PC + offset`	B	110 0011	101
`bgeu rs1 rs2 label`	Branch if Greater or Equal (Unsigned)		B	110 0011	111
`blt rs1 rs2 label`	Branch if Less Than (signed)	`if (rs1 < rs2)` `PC = PC + offset`	B	110 0011	100
`bltu rs1 rs2 label`	Branch if Less Than (Unsigned)		B	110 0011	110
`bne rs1 rs2 label`	Branch if Not Equal	`if (rs1 != rs2)` `PC = PC + offset`	B	110 0011	001

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J-Type

31 12	11 7	6 0
`imm[20|10:1|11|19:12]`	`rd`	`opcode`
20	5	7

- Jal instructions use only 1 destination and an immediate, so we can use the U-type format for extra immediate bits
  - This format is sometimes referred to as UJ-type for that reason
- Note that the immediate is stored in an even stranger pattern
  - If we had the binary `0bA BCDE FGHI JKLM NOPQ RSTU` (where each letter was a bit), the data would be stored as `0b AKLM NOPQ RSTJ BCDE FGHI`. As before, the last bit isn't stored
  - Note that we put the MSB of our immediate in the MSB of our instruction, bits 19-12 in the same spot as U-types, and bits 10-1 in the same spot as I-types.
- Jumps have 21-bit immediates, so up to 2^{18} instructions up/down

J-Type: All Instructions

Instruction	Name	Description	Type	Opcode	Funct3

`jal rd label`	Jump And Link	`rd = PC + 4`
		`PC = PC + offset`
			J	`110 1111`	

Agenda

- Intro
- R-types
- I-types
- S-types
- U-types
- B-types
- J-types
- **Concluding Notes**

How to handle immediates larger than you can store

- R-type and U-type instructions
  - Unneeded, since they either don't have immediates or have very specific use cases that never need to exceed the given immediate length
- I-type and S-type instructions
  - For arithmetic instructions, it's generally possible to store the immediate in a temporary first
    - Ex. if we want to do "`xori t0 t1 0xDEADBEEF`", we can do:

      ```
      li t2 0xDEADBEEF
      xor t0 t1 t2
      ```
  - For loads and stores, we can add the offset first, then do a 0-offset load (as with variable offset loads)

Adjusted xor t0 t1 0xDEADBEEF
to xori in lecture

How to handle immediates larger than you can store

- B-type and J-type instructions
- If a branch is:
  - Within 1024 instructions?
    - Branch normally (ex. `beq t0 t1 Label`)
  - Greater than 1024 instructions?
    - Invert the branch condition, and do a j instruction instead:

      ```
      bne t0 t1 Next
      j Label
      Next:
      ```
- If a jump is:
  - Within 2^{18} instructions?
    - Jump normally (ex. `j Label`)
  - Greater than 2^{18} instructions?
    - Do an auipc, then use jalr's immediate to offset the rest:

      ```
      auipc t0 0x12345
      jalr ra t0 0x678
      ```

Summary

- Information on instruction formats and specific opcode/funct values are provided on the reference card here: https://cs61c.org/sp24/pdfs/resources/reference-card.pdf