

Review

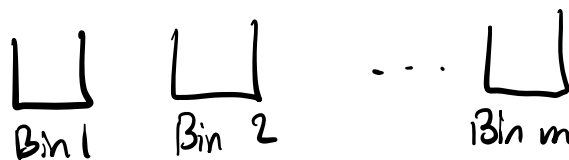
ways to pick k elements from set of n

Repeat Elements (Replacement)?

		Yes	No
Order Matters?	Yes	n^k	$\frac{n!}{(n-k)!}$
	No	$\binom{k+n-1}{k}$	$\binom{n}{k}$

Ex: Counting with balls and bins

Suppose we have n balls, m bins
 We throw each ball into a bin.
 How many outcomes?



1. Assume balls are numbered.

Ball label: $\frac{1}{\times} \quad \frac{2}{\times} \quad \frac{3}{\times} \quad \frac{4}{\times} \quad \dots \quad \frac{n}{\times}$ = $\square$

2. Assume balls are identical.

Now, just care about how many balls each bin has.

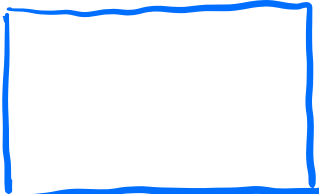
View as stars and bars problem:

Start with pointer at Bin 1

* = add a ball (balls = donut box spaces)

| = go to next bin (bins = donut types)

=> *'s, |'s

=>  outcomes

The Binomial Theorem

Recall: $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ "n choose k"

This type of expression is also called a . Let's see why.

Def: An expression $(a+b)^n$ with $n \in \mathbb{N}$ and is called a binomial.

Ex: $(a+b)^2 =$
 ↓ ↓ ↓

$$(a+b)^3 =$$

$\downarrow$ $\downarrow$ $\downarrow$ $\downarrow$

This pattern continues!

Binomial Theorem: For all $n \in \mathbb{N}$,

$$(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^k b^{n-k}.$$

Proof: Expand the left hand side as

$$(a+b)(a+b)(a+b) \cdots (a+b).$$

When multiplied out, each term will look like $a^k b^{n-k}$ for some k since we have n terms and can take an a or b from each.

How many times does each term appear?

ways to get $a^k b^{n-k}$ = # ways to pick k terms, from the rest from the rest

So, $\binom{n}{k}$ ways to get $a^k b^{n-k}$. Summing

all terms, we get

□

Corollary: For all $n \in \mathbb{N}$, $\sum_{k=0}^n (-1)^k \binom{n}{k} = 0$.

Proof: We showed $(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^k b^{n-k}$.

Plug in $a=$, $b=$.

□

Inclusion-Exclusion

We learned to multiply for consecutive choices.
How about either/or type choices?

Ex: Restaurant has 5 starters, 4 entrées,
and 2 desserts. You want an entrée
and either a starter or dessert.
How many meals?

~~~~ =  meals

General Rule: When a counting problem has  
several cases which don't overlap,  
to get the total number.

What about overlap?

Ex: How many numbers are divisible by 2 or 3 in the range  $\{1, \dots, 24\}$ ?

Divisible by 2 :

Divisible by 3 :

Divisible by both :

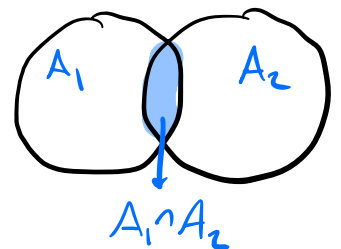
$\Rightarrow$

$= \square$

these are double counted!

Simple Inclusion Exclusion: If  $A_1$  and  $A_2$  are finite sets, then

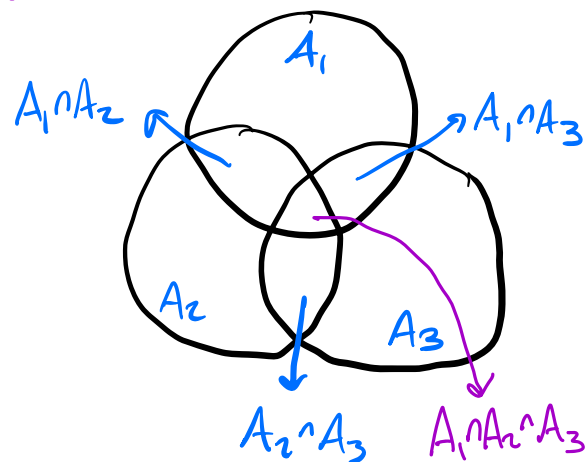
$$|A_1 \cup A_2| =$$



remove double counted

How about 3 sets?

$$|A_1 \cup A_2 \cup A_3| =$$



Can we generalize?

Theorem: Let  $A_1, \dots, A_n \subseteq A$ . Then,

$$|A_1 \cup \dots \cup A_n| = \sum_{k=1}^n (-1)^{k-1} \sum_{\substack{S \subseteq \{1, \dots, n\} \\ |S|=k}} \left| \bigcap_{i \in S} A_i \right|.$$

So, we add all

subtract all

add all

$\vdots$

with  $i < j$

with  $i < j < k$

Proof: Consider any  $a \in A$ . Our goal is to

to show that if  $a \in A_1 \cup \dots \cup A_n$ , then

$a$  is counted exactly once on the right

and if  $a \notin A_1 \cup \dots \cup A_n$  then  $a$  is not  
counted on the right.

First, suppose  $a \notin A_1 \cup \dots \cup A_n$ . Then  $a$

is not in any of the sets on the right

side, so it is not counted on the right.

Next, suppose  $a \in A_1 \cup \dots \cup A_n$ .

Let  $M = \{i \mid a \in A_i\}$ . Know  $m = |M| \geq 1$ .

Then, notice  $a \in \bigcap_{i \in S} A_i \iff S \subseteq M$ .

So, to find how many times  $a$  is

counted on the right, we put a 1  
for each set  $\bigcap_{i \in S} A_i$  with  $S \subseteq M$ .

$$\Rightarrow \# \text{ times } a \text{ counted} = \sum_{k=1}^m (-1)^{k-1} \sum_{\substack{S \subseteq M \\ |S|=k}} 1$$
$$= \sum_{k=1}^m (-1)^{k-1} \binom{m}{k}$$

We showed  $\sum_{k=0}^m (-1)^k \binom{m}{k} = 0$ .

$$\Rightarrow 1 + \sum_{k=1}^m (-1)^k \binom{m}{k} = 0.$$

$$\Rightarrow 1 = - \sum_{k=1}^m (-1)^k \binom{m}{k} = \sum_{k=1}^m (-1)^{k-1} \binom{m}{k}$$

So  $a$  is counted once!

□

Let's do an application.

## Derangements

Recall:  $n!$  ways to order  $n$  distinct objects.

"Permutations"

Def: A **derangement** is a permutation where no element ends in the position it started in.

Ex: Anagrams of **wolf**

**fwol** is a derangement

**fowl** is not: **o** is a "fixed point"

How can we count derangements of  **$n$**  objects?

Let  **$A_i$**  be the permutations where object  **$i$**  is a fixed point.

Then,  **$A_1 \cup A_2 \cup \dots \cup A_n$**  are the permutations which are not derangements.

$$\Rightarrow \# \text{ derangements} = \underbrace{n!} - \underbrace{|A_1 \cup A_2 \cup \dots \cup A_n|}$$

So, just count  **$|A_1 \cup A_2 \cup \dots \cup A_n|$** !

$$\text{We know } |A_1 \cup \dots \cup A_n| = \sum_{k=1}^n (-1)^{k-1} \sum_{\substack{S \subseteq \{1, \dots, n\} \\ |S|=k}} |\bigcap_{i \in S} A_i|.$$

How big is  **$\bigcap_{i \in S} A_i$**  when  **$|S|=k$** ?

**$\bigcap_{i \in S} A_i$**  are the permutations that have

fixed points at object  **$i$**  for each  **$i \in S$** .

$|S| =$ , so we just count the number of ways to order the other objects.

$$\Rightarrow \left| \bigcap_{i \in S} A_i \right| =$$

$$\Rightarrow |A_1 \cup \dots \cup A_n| = \sum_{k=1}^n (-1)^{k-1} \sum_{\substack{S \subseteq \{1, \dots, n\} \\ |S|=k}} \left| \bigcap_{i \in S} A_i \right|$$

$$= \sum_{k=1}^n (-1)^{k-1} \sum_{\substack{S \subseteq \{1, \dots, n\} \\ |S|=k}} (n-k)!$$

subsets  
 $S \subseteq \{1, \dots, n\}$  of  
size  $k$  ←

$$= \sum_{k=1}^n (-1)^{k-1} \binom{n}{k} (n-k)!$$

$$= \sum_{k=1}^n (-1)^{k-1} \frac{n!}{k!(n-k)!} (n-k)!$$

$$= n! \sum_{k=1}^n \frac{(-1)^{k-1}}{k!}$$

$$\Rightarrow \# \text{ derangements} = n! - |A_1 \cup A_2 \cup \dots \cup A_n|$$

$$= n! - n! \sum_{k=1}^n \frac{(-1)^{k-1}}{k!}$$

$$= n! \frac{(-1)^0}{0!} + n! \sum_{k=1}^n \frac{(-1)^k}{k!} = \boxed{n! \sum_{k=0}^n \frac{(-1)^k}{k!}}$$

# Combinatorial Proofs

Idea: Show two expressions are the same by counting.

Ex: Show  $2^n = \binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{n}$

Come up with a counting word problem.

Show            and            are both solutions to the word problem.

Tip: Start with simpler side to come up with the problem.

Problem: A company has  $n$  applicants, and can accept/reject any number of them. How many ways to decide which to accept?

LHS: There are 2 possibilities for each of  $n$  applicants, so  $2^n$  combinations.

RHS: We do cases based on how many people the company wants.

0 hires:            combinations

1 hire:            combinations

⋮

$n$  hires: combinations

In total,

combinations.

$$\text{So, } 2^n = \binom{n}{0} + \binom{n}{1} + \dots + \binom{n}{n}$$

□

General Rules: To convert a formula to a story:

$\times \longrightarrow$

$+$   $\longrightarrow$

$\binom{n}{k} \longrightarrow$

$n! \longrightarrow$

Ex: Show  $\binom{n}{k+1} = \binom{n-1}{k} + \binom{n-2}{k} + \dots + \binom{k}{k}$

Problem: Pick  $k+1$  numbers from  $\{1, 2, \dots, n\}$

LHS: Number of ways to pick  $k+1$  from  $n$  is  $\binom{n}{k+1}$ .

RHS: Cases based on the smallest number we take

Smallest is 1: ways to pick  $k$  more numbers from  $\{ \}$

Smallest is 2: ways to pick  $k$   
more numbers from  $\{ \}$   
 $\vdots$

Smallest is  $n-k$ : ways to pick  $k$   
more numbers from  $\{ \}$

Smallest can't be bigger than  $n-k$  if we  
want to pick  $k$  things.

In total, ways  
to pick  $k+1$  numbers from  $\{1, \dots, n\}$ .

$$\text{So, } \binom{n}{k+1} = \binom{n-1}{k} + \binom{n-2}{k} + \dots + \binom{k}{k}. \quad \square$$

## Summary

Thanks for learning discrete math with me!

If you enjoyed ....

Sets/Functions/Countability

Computability

Graphs

Modular Arithmetic  
+ Polynomials

You should try ---

Math 104 (Real Analysis)

CS 172 (Computability)

CS 170 (Algorithms)

Math 113 (Abstract Algebra)

Math 115 (Number Theory)

RSA

CS 161 (Security)

CS 171 (Cryptography)  
Math 116

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Counting

Math 172 (Combinatorics)

Rest of CS 70!

Enjoy probability!